### PATENT COOPERATION TREATY

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From the INTERNATIONAL BUREAU

## **PCT**

NOTIFICATION CONCERNING
TRANSMITTAL OF COPY OF INTERNATIONAL
PRELIMINARY REPORT ON PATENTABILITY
(CHAPTER I OF THE PATENT COOPERATION
TREATY)

(PCT Rule 44bis.1(c))

To:

FENSTER, Paul Fenster & Company Intellectual Property Ltd. P.O. Box 10256 Petach Tikva 49002 ISRAËL

Date of mailing (day/month/year)	
31 December 2008 (31.12.2008)	
0. 2000mbor 2000 (01.12.2000)	
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Applicantly or accepts file of	

Applicant's or agent's file reference 372/04723

IMPORTANT NOTICE

International application No. PCT/IL2005/000131

International filing date (day/month/year) 03 February 2005 (03.02.2005)

Priority date (day/month/year)
03 February 2004 (03.02.2004)

Applicant

ATRIA MEDICAL INC. et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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Form PCT/IB/326 (January 2004)

#### PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 372/04723	FOR FURTHER ACTION	See item 4 below		
International application No. PCT/IL2005/000131	International filing date (day/month/year) 03 February 2005 (03.02.2005)	Priority date (day/month/year) 03 February 2004 (03.02.2004)		
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237				
Applicant ATRIA MEDICAL INC.				

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 his.1(a).		
2.	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference		
	to the international preliminary	report on patentability (Chapter I) instead.	
3.	This report contains indications	relating to the following items:	
	Box No. I	Basis of the report	
	Box No. II	Priority	
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	
	Box No. IV	Lack of unity of invention	
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	
	Box No. VI	Certain documents cited	
	Box No. VII	Certain defects in the international application	
	Box No. VIII	Certain observations on the international application	
4.		ommunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but makes an express request under Article 23(2), before the expiration of 30 months from the priority	
		The second secon	

	Date of issuance of this report 16 December 2008 (16.12.2008)
The International Bureau of WIPO 34. chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Simin Baharlou
Facsimile No. +41 22 338 82 70	e-mail: pt09.pct@wipo.int

### PATENT COOPERATION TREATY

INTERNATIONAL SEARCHING AUTHO	DRITY		•
To: EITAN, PEARL, LATZER & COHEN-ZEDEK 7 SHENKAR STREET			PCT
HERZLIA, ISRAEL 46725		WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORIT	
			(PCT Rule 43bis.1)
·		Date of mailing (day/month/year)	07 APR 2008
Applicant's or agent's file reference		FOR FURTHER	
P-6765-PC			See paragraph 2 below
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/IL05/00131 International Patent Classification (IPC) or	03 February 2005 (03.02		03 February 2004 (03.02.2004)
IPC: A61M 5/00( 2006.01) A61M 1/3 USPC: 604/8,9;623/2.1,3.1	2006.01);A61F 2/24(	2006.01)	
Applicant	· · · · · · · · · · · · · · · · · · ·		
ATRIA MEDICAL INC.			
This opinion contains indications relat	ting to the following item	s:	
Box No. I Basis of the opinion			
Box No. II Priority			
Box No. III Non-establish			
Box No. IV Lack of unity of invention			
Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
Box No. VI Certain documents cited			
Box No. VII Certain defec	ets in the international app	olication	
Box No. VIII Certain obser	rvations on the internation	nal application	
2. FURTHER ACTION			
If a demand for international preliming International Preliminary Examining	Authority ("IPEA") ex Ic IPEA and the chosen I	cept that this does PEA has notified the	be considered to be a written opinion of the not apply where the applicant chooses an ne International Bureau under Rule 66.1 bis(b) ered.
If this opinion is, as provided above, IPEA a written reply together, where of Form PCT/ISA/220 or before the ex	appropriate, with amendr	nents, before the ex	PEA, the applicant is invited to submit to the piration of 3 months from the date of mailing whichever expires later.
For further options, see Form PCI/ISA			
3. For further details, see notes to Form F	PCT/ISA/220.	•	
Name and mailing address of the ISA/ US	Date of complet	ion of this opinion	Authorized officer
Mail Stop PCT, Attn: ISA/US Commissioner for Patents  24 March 2008 (24.03.2008)  Tatyana Zalukaeva		Tatyana Zalukaeva	
Alexandria, Virginia 22313-1450	P.O. Box 1450 Alexandria, Virginia 22313-1450 Telephone No. (571) 573, 2325		
Facsimile No. (571) 273-3201			

Form PCT/ISA/237 (cover sheet) (April 2007)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.	
PCT/IL05/00131	

BOX	No. I Basis of this opinion
1. With	h regard to the language, this opinion has been established on the basis of:
$\boxtimes$	the international application in the language in which it was filed
	a translation of the international application into, which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
With estal	This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to Authority under Rule 91 (Rule 43bis.1(a)) a regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been blished on the basis of:
а.	type of material
	a sequence listing
	table(s) related to the sequence listing
b.	format of material
	on paper
	in electronic form
C.	time of 601 of Contract
C.	time of filing/furnishing
	contained in the international application as filed.
	filed together with the international application in electronic form.
	furnished subsequently to this Authority for the purposes of search.
	to the purposes of scarcit.
· []	In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the
A 441+	application as filed or does not go beyond the application as filed, as appropriate, were furnished.  onal comments:
. Audili	onal comments:
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### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/IL05/00131

Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
1. Statement		
Novelty (N)	Claims NONE	YES
•	Claims 1-27	NO
	*•	
Inventive step (IS)	Claims NONE	YES
	Claims 1-27	NO
	· ·	
Industrial applicability (IA)	Claims 1-27	YES
:	Claims NONE	NO
· · · · · · · · · · · · · · · · · · ·		

#### 2. Citations and explanations:

Claims 1-27 lack novelty under PCT Article 33(2) as being anticipated by Alferness et al. (US 2004/016514). Alferness discloses a differential pressure regulating device comprising a shunt that is positioned between the left atrium and right atrium of the heart. The device comprises a flow-regulating valve that adjusts to regulate pressure between the atria based on a pressure threshold. The device is implant in the atrial septum, such that it establishes fluid communication between the atria.

Claims 1-6, 9-12, and 15-22 lack novelty under PCT Article 33(2) as being anticipated by Wolf et al. (US 6,641,610). Wolf discloses a device for regulating pressure between two lumens in the heart. An adjustable valve is disposed in the lumen to selectively cover the opening and regulate the flow of fluid through the shunt. A control mechanism (30) is coupled to the valve to remotely activate the valve. The shunt continuously regulates the pressure between the two lumens of the heart.

Claims 7, 8, 13, 14, and 23-27 lack an inventive step under PCT Article 33(3) as being obvious over Wolf et al. (US 6,641,610). Wolf, however, does not explicitly state that shunt is implanted in the interatrial septum, between the right and left atria. Wilk, however, suggests that the implant may be used to regulate flow between and chambers of the heart, and may be used on any heart wall, including the interatrial septum (see Column 3, Line 25 through Column 4 Line 18). Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to place the flow and pressure regulating shunt of Wolf in the interatrial septum, such that pressure-regulated bypass from one atrium of the other may be established.

Form PCT/ISA/237 (Box No. V) (April 2007)